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SOFER & HAROUN, LLP 317 Madison Avenue, Suite 910 New York, NY 10017 (212) 697-2800 Fax: (212) 697-3004

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To:

Examiner Siegfried Chencinski

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August 17, 2004

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Pages:

44, including this cover sheet

From

Joseph Sofer, Esq.

Re:

U.S. Patent Application Serial No.: 09/541,197

For: SYSTEM AND METHOD FOR PROVIDING SECURE RETIREMENT

BENEFITS VIA A CONVERSION PROCESS

Our File No.: 828-002

COMMENTS:

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Request for Continued Examination (RCE)
Amendment Fee Transmittal
Petition for One Month Extension of Time
Amendment

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case, any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

Docket No. 828-002

Group Art Unit: 3628

Examiner: Chencinski

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Serial No.

: Golden

: 09/541.197

Filed For

: April 3, 2000

SYSTEM AND METHOD FOR PROVIDING SECURE RETIREMENT BENEFITS

VIA A CONVERSION PROCESS

AMENDMENT FEE TRANSMITTAL

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sit:

Transmitted herewith is an Amendment for the above-identified application.

No additional fee is required. [X]

The additional fee has been calculated as shown below: []

CLAIMS AS AMENDED

| 1 | Claims Remaining After Amendment | | Highest No. Covered by Previous Payments | Present Extra | Rate | Additional Fee |
|-----------------------------------|--|----------------------------------|--|------------------|-----------|-------------------|
| Total Claims | 54 | - ′ | 54 | = | х \$9.00 | \$ <u>.00</u> |
| Independent Claims | . 3 | - | 3 | = | x \$40.00 | \$ <u>.00</u> |
| Multiple Dependent Claim(s) | (If claims adde Multiple Depe was no Multip application be \$260.00 to add | ndent Cl le Deper fore amo | Total: | \$ \$00 | | |
| [] Verifi filed_ (50% | \$ | | | | | |

Includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. § 1.75(c).

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| [] | A DUPLICATE COPY OF THIS SHEET IS ATTACHED. | | | | | | |
|-------|---|-------------|--|--|--|--|--|
| [X] | The Commissioner is hereby authorized to charge any additional fees which may be required for this amendment, or credit any overpayment to Deposit Account No.19-2825. Order No. 828-002. A DUPLICATE COPY OF THIS SHEET IS ATTACHED. | | | | | | |
| [] | Page(s) of substitute Sequence Listing | `. | | | | | |
| [] | Computer disk(s) containing substitute Sequence Listing | | | | | | |
| [] | Statement under 37 C.F.R. § 1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same. | | | | | | |
| [] | A check in the amount of \$.00 to cover the filing fee is attached. | | | | | | |
| • | Respectfully st | ubmitted, | | | | | |
| | SOFER & HA | ROUN L.L.P. | | | | | |
| Dated | Pated: August 17, 2004 By: Registration N | ph Sofer | | | | | |

Mailing Address:

SOFER & HAROUN L.L.P. 317 Madison Avenue New York, New York 10017 (212) 697-2800 Fax: (212) 697-3004